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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/565,839

03/19/2007

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069648-0388438

7755

98648 7590 05/02/2011
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EXAMINER

OMGBA, ESSAMA

ART UNIT

PAPER NUMBER

3726

MAIL DATE

DELIVERY MODE

05/02/2011

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/565,839	Applicant(s) PATON ET AL.	
	Examiner Essama Omgba	Art Unit 3726	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 19 April 2011.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Applicant's arguments filed April 19, 2011 are persuasive and, therefore, the finality of that action is withdrawn. Prosecution on the merits is reopened.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-7 are rejected under 35 U.S.C. 102(b) as being anticipated by Kakiuchi et al. (JP 2000-288135).

With regards to claims 1, 2 and 7, Kakiuchi et al. discloses an exterior surface treated article comprising a bulk-solidifying amorphous alloy having a mechanically treated exterior surface and having improved durability and fatigue resistance over a similar article without the mechanically treated exterior surface, the mechanically treated exterior surface comprising a plurality of deformations in the exterior surface (see abstract). Applicant should note that amorphous alloys comprise bulk-solidifying amorphous alloys.

Regarding claims 3, 4 and 6, Applicant should note that using a shot-peening process with a shot having a diameter of approximately 0.006 inches to 0.040 inches, or a laser shock peening process are product-by-process limitations and as such have not been given any patentable weight. "Even though product-by-process claims are limited

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by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process.” *In re Thorpe*, 777 F.2d 695, 698, 227 USPQ 964, 966 (Fed. Cir. 1985). See MPEP §2113.

Regarding claim 5, see abstract.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 8-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sano et al. in view of Poynor (US 20020193177)

With regards to claim 8, Kakiuchi et al. discloses a method of applying a grit blasting process to at least a portion of an exterior surface of an article, the article being made of bulk-solidifying amorphous alloy, creating a plurality of deformations in the exterior surface by mechanically compressing a plurality of abrasive grains against the exterior surface to create a mechanically treated exterior surface, wherein the article has an improved durability and fatigue resistance over a similar article without the mechanically treated exterior surface, see abstract. Although Kakiuchi et al. does not

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specifically disclose a shot peening process, however it would have been obvious to one of ordinary skill in the art at the time the invention was made that the grit blasting process of Kakiuchi et al. is structurally equivalent to the claimed shot peening process. Applicant should note that amorphous alloys comprise bulk-solidifying amorphous alloys.

Regarding claim 9, Applicant should note that the grit blasting process of Kakiuchi et al. is applied to a substantial portion of the exterior surface.

Regarding claim 10, Applicant should note that it is inherent that improved durability and fatigue resistance would result in improved peak load for failure and increased cycles to failure under fatigue cycling.

6. Claims 11-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kakiuchi et al. in view of Poynor (US 2002/0193177).

Kakiuchi et al. discloses an article of bulk-solidifying amorphous alloy as shown above. Although Kakiuchi et al. does not disclose a particular ratio of the peak load for failure of the article versus the similar article, however it is known to use a sufficient amount of shot peening or grit blasting to produce a sufficient residual compressive stress, whereby a high enough fatigue limit is reached to satisfy a desired peak load for failure, and determining the desired peak load limit is within the general skill level of a worker in the art, see paragraph [0027]. Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made, to have shot peened or grit blasted the article of bulk-solidifying amorphous alloy of Kakiuchi et al. to achieve a

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desired ratio of peak load for failure of the article versus a similar article, in light of the teachings of Poynor, in order to produce an article with improved durability.

7. Claims 19-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kakiuchi et al. in view of Kim et al. (US Patent 6,325,868).

Kakiuchi et al. discloses an article of bulk-solidifying amorphous alloy as shown above except for the recited composition. However such bulk-solidifying amorphous alloys are old and well known in the art as attested by Kim et al., see column 2, lines 26-62 and column 4, lines 7-16. Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made, to have used an article of bulk-solidifying amorphous alloy having the recited composition as the article of Sano et al., in light of the teachings of Kim et al., since it has been held to be within the general skill level of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416. See also *Ballas Liquidating Co. v. Allied industries of Kansas, Inc.* (DC Kans) 205 USPQ 331.

8. Claims 1-10 are, *in the alternative*, rejected under 35 U.S.C. 103(a) as being unpatentable over Kakiuchi et al. in view of Scruggs et al. (WO 97/20601).

With regards to claims 1, 2 and 7, Kakiuchi et al. discloses an exterior surface treated article comprising an amorphous alloy having a mechanically treated exterior surface and having improved durability and fatigue resistance over a similar article without the mechanically treated exterior surface, the mechanically treated exterior surface comprising a plurality of deformations in the exterior surface (see abstract).

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Although Kakiuchi et al. does not specifically disclose the amorphous alloy being a bulk-solidifying amorphous alloy, however it is known to form such articles from bulk-solidifying amorphous alloys as attested by Scruggs et al., see abstract and page 6, lines 11-18. Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made, to have made the article of Kakiuchi et al. from bulk-solidifying amorphous alloy, in light of the teachings of Scruggs et al., in order to achieve the benefits of using such known and readily available material, see page 3, lines 1-28 of Scruggs et al.

Regarding claims 3, 4 and 6, Applicant should note that using a shot-peening process with a shot having a diameter of approximately 0.006 inches to 0.040 inches, or a laser shock peening process are product-by-process limitations and as such have not been given any patentable weight. "Even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process." *In re Thorpe*, 777 F.2d 695, 698, 227 USPQ 964, 966 (Fed. Cir. 1985). See MPEP §2113.

Regarding claim 5, see abstract of Kakiuchi et al.

With regards to claim 8, Kakiuchi et al. discloses a method of applying a grit blasting process to at least a portion of an exterior surface of an article, the article being made of an amorphous alloy, creating a plurality of deformations in the exterior surface

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by mechanically compressing a plurality of abrasive grains against the exterior surface to create a mechanically treated exterior surface, wherein the article has an improved durability and fatigue resistance over a similar article without the mechanically treated exterior surface, see abstract. Although Kakiuchi et al. does not specifically disclose the amorphous alloy being a bulk-solidifying amorphous alloy, however it is known to form such articles from bulk-solidifying amorphous alloys as attested by Scruggs et al., see abstract and page 6, lines 11-18. Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made, to have made the article of Kakiuchi et al. from bulk-solidifying amorphous alloy, in light of the teachings of Scruggs et al., in order to achieve the benefits of using such known and readily available material, see page 3, lines 1-28 of Scruggs et al. Further although Kakiuchi et al. does not specifically disclose a shot peening process, however it would have been obvious to one of ordinary skill in the art at the time the invention was made that the grit blasting process of Kakiuchi et al. is structurally equivalent to the claimed shot peening process.

Regarding claim 9, Applicant should note that the grit blasting process of Kakiuchi et al. is applied to a substantial portion of the exterior surface.

Regarding claim 10, Applicant should note that it is inherent that improved durability and fatigue resistance would result in improved peak load for failure and increased cycles to failure under fatigue cycling.

9. Claims 11-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kakiuchi et al./Scruggs et al. as applied to claim 10 above, and further in view of Poynor.

Kakiuchi et al./Scruggs et al. discloses an article of bulk-solidifying amorphous alloy as shown above. Although Kakiuchi et al./Scruggs et al. does not disclose a particular ratio of the peak load for failure of the article versus the similar article, however it is known to use a sufficient amount of shot peening or grit blasting to produce a sufficient residual compressive stress, whereby a high enough fatigue limit is reached to satisfy a desired peak load for failure, and determining the desired peak load limit is within the general skill level of a worker in the art, see paragraph [0027].

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made, to have shot peened or grit blasted the article of bulk-solidifying amorphous alloy of Kakiuchi et al./Scruggs et al. to achieve a desired ratio of peak load for failure of the article versus a similar article, in light of the teachings of Poynor, in order to produce an article with improved durability.

10. Claims 18-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kakiuchi et al./Scruggs et al. as applied to claim 1 above, and further in view of Kim et al.

Kakiuchi et al./Scruggs et al. discloses an article of bulk-solidifying amorphous alloy as shown above except for the recited composition. However such bulk-solidifying amorphous alloys are old and well known in the art as attested by Kim et al., see column 2, lines 26-62 and column 4, lines 7-16. Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made, to have used an article of bulk-solidifying amorphous alloy having the recited composition as the article of Kakiuchi et al./Scruggs et al., in light of the teachings of Kim et al., since it has been

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held to be within the general skill level of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice.

In re Leshin, 125 USPQ 416. See also *Ballas Liquidating Co. v. Allied industries of Kansas, Inc.* (DC Kans) 205 USPQ 331.

Response to Arguments

11. Applicant's arguments with respect to claims 1-25 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Essama Omgba whose telephone number is (571) 272-4532. The examiner can normally be reached on M-F 9-6:30, 1st Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Bryant can be reached on (571) 272-4526. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Essama Omgba/
Primary Examiner, Art Unit 3726

eo
April 30, 2011